

# Privacy enhanced authorizations and data handling

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# Categories of policies

- **Access control policies** govern access to service and release to data stored at some service
- **Release policies** govern release of personal private information (properties/credentials)
- **Data handling policies** define restrictions on secondary use of PII
- **Sanitization policies** regulate the dialog between parties to protect sensitive policy information

# Existing standards

## Access control

- eXtensible Markup Access Control Language (XACML)
- Enterprise Privacy Authorization Language (EPAL)
- WS-Policy
- XrML

## Secondary use

- Platform for Privacy Preference (P3P)

# Convergence between AC and PP models and languages

- AC departs from traditional authenticate/authorize approach
- Provisions for coordinated evaluation of different policies (client, server, data respondent, ....)
- Support for preferences->conditions mappings
  - PP declaratively expresses privacy preferences in a human-understandable way
  - AC states access conditions on user data in a consistent way w.r.t. privacy preferences
  - May be done *explicitly* via language mappings (e.g. XACML privacy profile) or *implicitly* (e.g. as part of an operational semantics of PP)
  - Both techniques have pros and cons
- Support for client-side and server-side access restrictions
- Support for authorizations depending on partial identities
- Support for new categories of conditions
  - Location-based, trust, purpose, obligations, data handling etc.
  - Raise privacy concerns in the evaluation of AC conditions
  - Raise policy disclosure concerns

# Language extensions

- Integration with **encryption**
  - Evaluation of conditions based on ciphertext or signed assertions over ciphertext
- Support for **context representation** and **reasoning**
- New context-related predicates (e.g. LBS)
- Delegation

# Encryption-aware language: issues

- An **encryption-aware** language needs
  - Support for conditions/predicates requesting encrypted data
  - Definition of a syntax and semantic for encryption-based statement
  - Definition of an infrastructure for cryptographic credentials evaluation
  - Enhanced representation of parties portfolios

# Context representation/reasoning (1)

- Definition of ontologies for context inferences
- Definition of ontologies for policies inferences
- Policies expansion through ontologies definition
- Ontology-based evaluation of conditions

## Example

- *>age(**User**,18) :- driverLicence(type="B", issuer="ItalianPublicAdministration")*

# Context representation/reasoning (2)

- **Enhanced Context** representation containing
  - Approximate information
  - Time-variant information
  - Uncertain information

## Examples

- Users position
- Mobile information
- Facial expression



# Location-aware context

## Definition of location-based conditions

- Ability to **express**, **evaluate** and **enforce** access control policies based on location information

## Location-based conditions examples

- `inArea(User, "Room1")`
- `disjoint(User, "Italy")`
- `density("Room7", 1, 10)`

# Context and Privacy Preferences

- Definition of a new category of privacy preferences regarding time-variant and approximate context information
- Privacy preferences will affect the evaluation of conditions based on enhanced context information

## Preferences examples

- When evaluating LBS conditions on me
  - *Determine my location with a minimum accuracy of 10 meters*
  - *Determine my location degrading the measure by a certain percentage, with respect to location technology accuracy*

# R&D challenges context awareness (1)

Context information is a set of metadata clearly identifying entities of interest in the domain

A well-understood and shared context representation and a secure infrastructure making it available provide

- Capability of parties to negotiate common knowledge and exploit a shared vocabulary
- Capability of parties to verify policy conditions

## R&D challenges context awareness (2)

- **Protect privacy of context information.** User context information should only be provided to authorized entities
- **Describe entities via context ontologies.** User context information must be made accessible by entities, dealing with its semantics in a clear and unambiguous way
- **Develop a metadata distribution architecture.** Context information should be made available to any authorized entity at any time
  - Still unauthorized information leaks should be prevented

# R&D challenges context awareness (3)

- **Semantic portfolio.** Support controlled access to contextual resources subject to user-specified privacy constraints
  - Existing standards (e.g., OWL Semantic Web reasoning engine, location tracking functionality, etc.) need to be combined with new enforcement techniques

# Secondary use

## Constraints on secondary use

- **Agreed** between the parties (server/client)
- Expressed within the **rules** or as **separate rules**
- Need to be obeyed (propagated/satisfied) by the policy of the server

# Data handling policies (1)

## Specify how PII is used and processed

- Attribute-based language
- Support for purposes
- Support for provisions and obligations
- Support for disputes and remedies (human readable)
- Different types of specifications
  - Server-side
  - Customized
  - User- and server-side

# Data handling policies (2)

- Data handling policies composition
  - Data handling policies defined at different level of the data schema
  - Support for multiple purposes
- Automatic negotiation of preferences between users and servers
  - Servers propose a set of policies
  - Users, automatically, customize through their preferences



# Data Handling Policies (3)

- Data Handling policies matching
  - Definition of compact policies to boost data handling policies comparison and evaluation
  - Definition of policies templates customizable by the end users

# R&D challenges secondary use

- Management of data handling policies **lifecycle**
- Definition of policies allowing the protection of the users data after **chains of releases**
- Support for machine readable remedies and disputes

# Conclusions

- Current standards are evolving independently to address open issues
- Some (not all) of the aspects are being covered
- But: putting the different aspects together requires some rethinking
- Some aspects not covered by current standards
  - Data handling
  - Credential/declaration management
  - Support for anonymity/privacy
  - Support for policy communication (sanitization)
  - Support for negotiation

**Thank you for your attention**

# Backup slides

# Language - elements

- **declarations:**
  - information uttered by the party and not certified by any authority (e.g., identity, address, hobbies)
- **credentials:** digital certificates (**c**, **K**)
  - **c**: signed content (credential name, attribute list)
  - **K**: public digital signature verification key
- **built-in mathematical predicates**
- **conditions:**
  - state
  - trust
  - location
  - .....

# Credentials

## We assume a **semi-structured** organization of credentials

- **Credential term**: expression of the form `credential_name(attribute_list)`
  - `credential_name`: name of the credential
  - `attribute_list`: list of elements of the form `"attribute_name=value_term"`

## Example

- `driver-license(name="John Doe")`

# Language - rules (1)

## Authorization

⟨*subjects*⟩

CAN ⟨*actions*⟩

⟨*objects*⟩

[FOR ⟨*purposes*⟩]

[IF ⟨*conditions*⟩]

[FOLLOW ⟨*obligations*⟩]

- **subjects**: boolean expression of credentials and declarations
- **objects**: boolean expression of conditions on metadata
- **conditions**: boolean expression of generic conditions



# Language - rules (2)

## Restriction

⟨*subjects*⟩

CAN ⟨*actions*⟩

⟨*objects*⟩

[FOR ⟨*purposes*⟩]

[ONLY IF ⟨*conditions*⟩]

[FOLLOW ⟨*obligations*⟩]

- **subjects**: boolean expression of credentials and declarations
- **objects**: boolean expression of conditions on metadata
- **conditions**: boolean expression of generic conditions

# Language - rules (3)

Some support of **variables**:

- **user**: user requesting access
- **object**: data to be accessed

Support of any kind of **predicates** (provided evaluation):

- **dynamic**: defining conditions that can be brought to satisfactions at run-time processing of the request
- **trust**: assessing trustworthiness of server
- **location**: making enforcement dependent on location of requestor

Support of ontologies and abstractions (subject, object, portfolio ontologies)

# Subjects

`<subject_id> WITH <subject_expression>`

- `subject_id`: identifier (individual or group) defined in the ontology. Allows indexing of access rules
- `subject_expression`: boolean formula over credentials and declaration terms. It uses predefined variable `user` to refer to actual requestor

## Examples

- `declaration(user.name = "Bob", user.age >18)`
- `credential(passport(user.nationality = "Italian"),K1)`

# Objects

<object\_id> WITH <object\_expression>

- **object\_id**: identifier (individual resource or class thereof) defined in the ontology. Allows indexing of access rules
- **object\_expression**: boolean formula over credentials and declaration terms. It uses predefined variable **object** to refer to actual requestor

## Examples

- declaration(**object**.creator = "Bob")
- declaration(**object**.creation\_date < "1971")
- declaration(**object**.creator = **user**)

# Conditions

- boolean expression of conditions
- each term has the form `predicate_name(arguments)`
- different types of conditions can be stated inside a rule:
  - trust-based conditions
  - location-based conditions
  - state-based conditions

## Examples

- `filled_in_form(user, "form1")`
- `payment(user, "subscription1")`

# Examples of rules (1)

*Researchers*

*CAN access*

*Restricted\_Datasets*

*IF declaration(payment(user,Restricted\_Datasets))*

Researchers can access restricted dataset if they have paid for the access

## Examples of rules (2)

*Any-User WITH  
credential(DriversLicence(Permit.CarPermit="true",  
Issuer.Country="IT"), K<sub>DL</sub>) AND declaration(User.Age=17))*

*CAN rent\_a\_car*

*Mercedes WITH type="CLK"*

*IF credential(eCoin(Value>100Euro), K<sub>EC</sub>) AND  
declaration(in\_area("Italy"))*

Users older than 17 who have a valid Italian driver licence can rent a Mercedes CLK if they have provided an eCoin for more than 100€ for the access and they are in Italy

## Examples of rules (3)

*Any-User* WITH *declaration*(*user.citizenship*="EU")

*CAN download*

*NationalSurvey*

IF *metadata.downloadable* = "yes"

European citizens can download national surveys if they are marked as "downloadable"